



IFW

PATENT
Customer No. 22,852
Attorney Docket No. 06720.0110-01000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
)	
Hsiang-Tsung KUNG)	Group Art Unit: 2131
)	
Application No.: 10/749,558)	Examiner: NOT YET ASSIGNED
)	
Filed: January 2, 2004)	
)	
For: PERSONAL AUTHENTICATION)	Confirmation No.: 8770
DEVICE AND SYSTEM AND)	
METHOD THEREOF)	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of all listed documents are enclosed, except for U.S. published patent applications and issued patents. The above-identified patent application was filed after June 30, 2003, and as indicated at 1276 OG 55, submission of U.S. published patent application and issued patent copies is not required with this Information Disclosure Statement.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicant determines that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

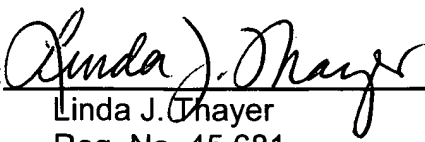
Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

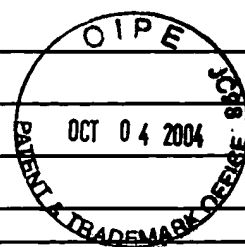
Dated: October 1, 2004

By: 
Linda J. Thayer
Reg. No. 45,681

Finnegan Henderson Farabow
Garrett & Dunner L.L.P.
1300 I Street, NW
Washington, D.C. 20005
(202) 408-4000

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	06720.0110-01000	Appln. No.	10/749,558
Applicant	Hsiang-Tsung KUNG		
Filing Date	January 2, 2004	Group:	2131



U.S. PATENT DOCUMENTS

Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	AA	4,529,870	07/16/85	Chaum	235	380	
	AB	4,590,470	05/20/86	Koenig	340	825.31	
	AC	4,661,658	04/28/87	Matyas	380	23	
	AD	4,882,779	11/21/89	Rahtgen	380	24	
	AE	5,020,105	05/28/91	Rosen et al.	380	23	
	AF	5,604,802	02/18/97	Holloway	380	24	
	AG	5,721,781	02/24/98	Deo et al.	380	25	
	AH	5,757,918	05/26/98	Hopkins	380	25	
	AI	5,790,667	08/04/98	Omori et al.	380	23	
	AJ	6,003,113	12/14/99	Hoshino	711	106	
	AK	6,134,550	10/17/00	Van Oorschot et al.	709	9	
	AL	6,138,235	10/24/00	Lipkin et al.	713	155	
	AM	6,144,743	11/07/00	Yamada et al.	380	44	
	AN	6,513,116	01/28/03	Valente	713	155	

FOREIGN PATENT DOCUMENTS

	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

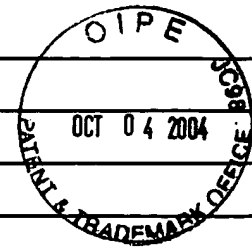
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

"iKey™ 1000 Series – Smart Devices for Two-Factors Authentication. A secure alternative to user names and passwords," <i>Rainbow Technologies, Inc.</i> , April 27, 2001: pp. 1-7.
--

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	06720.0110-01000	Appln. No.	10/749,558
Applicant	Hsiang-Tsung KUNG		
Filing Date	January 2, 2004	Group:	2131



	Clarke, D., et al., "Certificate Chain Discover in SPKI/SDSI," September 13, 2001: pp. 1-26.
	Adams, C. et al., "Internet X.509 Public Key Infrastructure Certificate Management Protocol," April 2003: pp. 1-89.
	Malpani, A. et al., "Simple Certificate Validation Protocol (SCVP)," December 2002: pp.1-44.
	"Hotel/Hospitality Security. Keyless Entry Electronic Door Lock," <i>Applied Safe & Vault</i> , retrieved June 2, 2003, from http://www.alliedsafe.com/hospitality/electronic_locks.php : pp. 1-2.
	"Personal identity Manager," <i>IDEX</i> , retrieved May 23, 2003, from http://www.idexsys.com/pim.htm : pp. 1-2.
	"Public Key Infrastructure (PKI) FAQs," <i>Articsoft</i> , retrieved June 12, 2003, from http://www.articsoft.com/wp_pki_faq.htm : pp. 1-5.
	"Check Point VPN-1 TM SecuRemote TM /SecureClient TM & SecurRemote NG TM /SecureClient NG TM ," <i>Alladin</i> , retrieved on June 2, 2003, from http://www.ealaddin.com/etoken/enterprise/datasheets/DS_CheckPoint_SecuRemote.asp?cf=tl : pp: 1-3.
	Kagal, L. et al., "Trust-Based Security in Pervasive Computing Environments," <i>University of Maryland, Baltimore County</i> , December 2001: pp. 1-5.

Examiner	Date Considered
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce